Approved for use thrown 10/31/2002. OMB 0651-0032
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ROSECUTION APPLICATION (CPA)

QUEST TRANSMITTAL

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|--|---|-----------------------------|--|--|--|--|--|
| CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL | | | | | | | |
| Submit an original, and a duplicate for fee processing. Only for Continuation or Divisional applications under 37 CFR 1.53(d)) CHECK BOX, if applicable To the processing. DUPLICATE | | | | | | | |
| Assess to me | Attorney Docket No. of Prior Application | 730033.409 | | | | | |
| Commissioner for Patents | First Named Inventor | Nathalie B. Scholler | | | | | |
| Box CPA | Examiner Name | Anne-Marie Baker, Ph.D. | | | | | |
| Washington, DC 20231 | Group Art Unit | 1632 | | | | | |
| , | Express Mail Label No. | EL 755733438US | | | | | |
| This is a request for a x continuation of | or divisional applica | ition under 37 CFR 1.53(d), | | | | | |
| (continued prosecution application (CPA)) of prior application number <u>09/441,411</u> , filed on <u>November 16, 1999</u> , entitled <u>SURFACE RECEPTOR ANTIGEN VACCINES</u> . | | | | | | | |
| FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000). C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b). EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filling date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned. ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the other application in the file jacket 35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is submitted, it will not be | | | | | | | |
| entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a). WARNING: Information on this form may become public. Credit card information should not be included on this form. | | | | | | | |
| Provide credit card information and authorize | | | | | | | |
| 1. Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application. | | | | | | | |
| 2. A preliminary amendment is enclosed | | | | | | | |
| 3. This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4). a. DELETE the following inventor(s) named in the prior politication: | | | | | | | |
| 1 | | | | | | | |

[Page 1 of 2]
Burden Hour Statement. This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box CPA, Washington, DC 20231.

b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.

5. Information Disclosure Statement (IDS) is enclosed:

Copies of IDS Citations

PTO-1449

b. |

PTO/SB/29 (10-00)
Approved for use the in 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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| | CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS | |
|-----------------------------------|----------------------|---|---------------------------------------|-----------------------------------|----------------------------|-------------------------------------|--|
| | | TOTAL CLAIMS (37 CFR 1.16(c) or (j)) | 8-20* = | 00 | × \$ <u>00</u> = | \$ 00 | |
| | | INDEPENDENT CLAIMS 37 CFR 1.16(b) or (i)) | 4-3** = | 1 | × \$ <u>42</u> = | 42 | |
| | MULTIPLE DEPENDENT C | | AIMS (if applicable) (37 CFR 1.16(d)) | | x \$= | 00 | |
| | | | | | BASIC FEE (37 CFR 1.16) | 370 | |
| | | | Total of above Calculations = 412 | | | 412 | |
| | | Extension of Time Fee | ension of Time Fee 980 | | | 980 | |
| | | * Reissue claims in exce ** Reissue independent c | ss of 20 and ove laims over origir | r original patent. nal patent. | TOTAL = | 1,392 | |
| | 6. x | Small entity status: Applicant claims small entity status. See 37 CFR 1.27. | | | | | |
| | | The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No.14-1090: | | | | | |
| | a. | Fees required under | 37 CFR 1.16. | | | | |
| | b. | X Fees required under | 37 CFR 1.17 | | | | |
| | C. | Fees required under 37 CFR 1.18. | | | | | |
| | 8. 🗷 | A check in the amount of \$ 1,392 is enclosed. | | | | | |
| | 9. | Payment by credit card. Form PTO-2038 is attached. | | | | | |
| | 10. | Applicant requests suspension of action under 37 CFR 1.103(b) for a period of months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed. | | | | | |
| | 11. | New Attorney Docket Number, if desired | | | | | |
| | 12. a. | Receipt For Facsimile | mile Transmitted CPA (PTO/SB/29A) | | | | |
| | b. | Return Receipt Postcard (Should be specifically itemized, See MPEP 503) | | | | | |
| | 13. | Other: | | | | | |
| | OIE. | The prior application's con UNLESS a new corresponde | ence address is | | er to this CPA | | |
| 14 | . NEW CO | DRRESPONDENCE ADDR | ESS | | 1 | | |
| Customer Number or Bar Code Label | | imber or Bar Code Label | 00500 PATENT TRADEMARK OFFICE | | or [] New o | Or New correspondence address below | |
| Name | | | | | | | |
| | | | | | | | |
| Ad | Address | | | | | | |
| | City State Zip Code | | | | | | |
| Co | ountry | | Telephone | | Fax | | |

| 15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | | |
|---|----------------------------|--|--|--|
| Name (Print /Type) | Stephen J. Rosenman, Ph.D. | | | |
| Signature | 3h Rosen | | | |
| Registration No. (Attorney/Agent) | 43,058 | | | |
| Date | January 31, 2002 | | | |